1328

**UTILITY** 

PATENT APPLICATION

**TRANSMITTAL** 

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.
First Inventor

Rainer UHL et al.

APPARATUS FOR TOTAL INTERNAL REFLECTION MICROSCOPY Title

740105-89

	xpress Mail Label No.
APPLICATION ELEMENTS	Mail Stop Patent Application
See MPEP chapter 600 concerning utility patent application contents.	Commissioner for Patents ADDRESS TO: P.O. Box 1450
1	Alexandria, VA 22313-1450
1.	7. CD-ROM or CD-R in duplicate, large table or
(Submit an original and a duplicate for fee processing)  2.  Applicant claims small entity status.	Computer Program (Appendix)
See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. Specification [Total Pages 13]	a. ☐ Computer Readable Form (CRF)
(preferred arrangement set forth below)	b. Specification Sequence Listing on:
- Descriptive title of the invention - Cross Reference to Related Applications	i. D CD-ROM or CD-R (2 copies; or
- Statement Regarding Fed sponsored R & D	ii. D paper
- Reference to sequence listing, a table,	c.  Statements verifying identity of above copies
or a computer program listing appendix	ACCOMPANYING APPLICATION PARTS
- Background of the Invention	
Brief Summary of the Invention     Brief Description of the Drawings (if filed)	9. Assignment Papers (cover sheet & document(s))
- Detailed Description	10. $\square$ 37 CFR 3.73(b) Statement $\square$ Power of
- Claim(s)	(when there is an assignee) Attorney
- Abstract of the Disclosure	11. ☐ English Translation Document (if applicable)  12. ☑ Information Disclosure ☐ Copies of IDS
4. \(\sum \) Drawing(s) (35 U.S.C. 113) [Total Sheets 5]	Statement (IDS)/PTO-1449 Citations
5. Oath or Declaration [Total Pages 4] a. Newly executed (original or copy)	13. Preliminary Amendment
b.  Copy from a prior application (37 CFR 1.63(d))	14. X Return Receipt Postcard (MPEP 503)
(for continuation/divisional with Box 18 completed)	(Should be specifically itemized)
i. D DELETION OF INVENTOR(S)	15.  Certified Copy of German Priority Document
Signed statement attached deleting inventor(s)	No. 103 09 269.2 Filed: March 3, 2003
named in the prior application, see 37 CFR	16. ☐ Nonpublication request under 35 U.S.C.
1.63(d)(2) and 1.33(b)	122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
6. Application Data Sheet. See 37 CFR 1.76	17. Other:
	17. 🗖 Other:
18. If a CONTINUING APPLICATION, check appropriate box, and supply	ly the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:	
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)	of prior application No.:/
Prior application information: Examiner	Group / Art Unit:
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the	prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or	divisional application and is hereby incorporated by reference. The
incorporation can only be relied upon when a portion has been inadvertently omitt	
19. CORRESPONDEN	(
Customer Number or Bar Code Label  (Insert Customer No. or Attach bar. c.	To No. 1 and A. C. C. William To A.
Nome	
Name	

Address				
City		State	Zip Code	
Country		Telephone	Fax	
Name (Print/Type)	Daxid S. Safran	Registration No. (Attorne	ey/Agent)	27,997
Signature	()c-(1)	W	Date N	1arch 3, 2004
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## FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

METHOD OF PAYMENT (check all that apply)

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$936.00

		Complete ij Known	
	Application Number		
Filing Date		March 3, 2004	
	First Named Inventor	Rainer UHL et al.	
	Examiner Name		
	Art Unit		
	Attorney Docket No.	740105-89	

FEE CALCULATION (continued)

Check Credit Card Money Other None	3. ADDITIONAL FEES				
Deposit Account:	Large	Entity	Small	Entity	
Deposit	Fee	Fee	Fee	Fee	Fee Description
Account Number 19-2380 (740105-89)	1051	(\$) 130	Code 2051	<b>(\$)</b> 65	Surcharge – late filing fee or oath
	1051	50	2051	25	Surcharge - late thing lee or oath  Surcharge - late provisional filing fee or cover
					sheet
Deposit Account Nixon Peabody LLP	1053	130	1053	130	Non-English specification
Name	1812	2,520	1812	2,520	For filing a request for ex parte reexamination
The Commissioner is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
Charge fee(s) indicated below Credit any overpayments	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner
X Charge any additional fee(s)	1251	110	2251	55	action Extension for reply within first month
Charge fee(s) indicated below, except for the filing fee	1252	420	2252	210	Extension for reply within second month
to the above-identified deposit account.	1253	950	2253	475	Extension for reply within third month
FEE CALCULATION	1254	1,480	2254	740	Extension for reply within fourth month
1. BASIC FILING FEE	1255	2,010	2255	1,005	Extension for reply within fifth month
Large Entity Small Entity	1401	330	2401	165	Notice of Appeal
Fee Fee Fee Fee Description	1402	330	2402	165	Filing a brief in support of an appeal
Code (\$) Code (\$) Fee Paid	1403	290	2403	145	Request for oral hearing
1001 770 2001 385 Utility filing fee \$770	1451	1,510	1451	1,510	Petition to institute a public use proceeding
1002 340 2002 170 Design filing fee	1452	110.	2452	55	Petition to revive – unavoidable
1003 530 2003 265 Plant filing fee	1453	1,330	2453	665	Petition to revive – unintentional
1004 770 2004 385 Reissue filing fee	1501	1,330	2501	665	Utility issue fee (or reissue)
1005 160 2005 80 Provisional filing fee	1502	480	2502	240	Design issue fee
100 100 2003 00 HOVISIONE HIMING ICC	1503	640	2503	320	Plant issue fee
SUBTOTAL (1) \$770.00  2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		130	1460	130	Petitions to the Commissioner
		50	1807	50	Processing fee under 37 CFR 1.17(q)
		180	1806	180	Submission of Information Disclosure Stmt
Fee from	8021	40	8021	40	Recording each patent assignment per property \$40.00
Extra Claims below Fee Paid  Total Claims 27 -20**= 7 X \$18 = \$126.00	1809	770	2809	385	(times number of properties) Filing a submission after final rejection
Independent 2 -3** = 0 X \$86 =	1810	770	2810	385	(37 CFR 1.129(a))
Claims	1810	//0	2010	363	For each additional invention to be examined (37 CFR 1.129(b))
Multiple Dependent X \$290 =	1801	770	2801	385	Request for Continued Examination (RCE)
Large Entity Small Entity	1802	900	1802	900	Request for expedited examination of a design
Fee Fee Fee Fee Description	1	,,,,		,,,,	application
Code (\$) Code (\$)	Other i	ee (specif	y)	<del>.</del>	
1202 18 2202 9 Claims in excess of 20					
1201 86 2201 43 Independent claims in excess of 3	*Redu	ed by Ba	ic Filin	g Fee Paid	SUBTOTAL (3) \$40.00
1203 290 2203 145 Multiple dependent claim, if not paid		•			
1204 86 2204 43 ** Reissue independent claims over					
original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and					
over original patent	1				
SUBTOTAL (2) \$126.00					
**or number previously paid, if greater; For Reissues, see above					
SUBMITTED BY Complete (if applicable)					
Name (Print/Type) David S. Safran Registration No. (Autorney/Agent) 27,997			Telephone (202) 585-8000		
				Date March 3, 2004	